

# 實德環球投資有限公司

## SUCCESS UNIVERSE INVESTMENT LLC

客戶交易守則：

**Client Trading Rules:**

異常交易：

為確保公司可繼續為客戶提供公平、公正的交易服務，現頒布以下異常賬戶判定之規則：

**Abnormal Trading :**

To assure the fair trading services and environment provided by the Company, the following trading rules are issued.

異常交易的定義：

1. 異常交易通常持倉時間較短，在極短時間內會進行開倉平倉活動；
2. 客戶直接或間接使用非本公司官方發佈的交易系統或電腦外掛軟體或其他具有損害性的工具進行交易；
3. 網絡延遲、網絡故障、計算器故障、報價誤差或報價系統漏洞，均有可能造成交易平臺上的報價無法準確地反映實時市場價格。為了保障網絡交易的公平性和廣大客戶的權益，本公司不接受任何利用操作平臺漏洞或故障進行下單交易的行為。；
4. 以不同交易帳戶作不同方向交易及對沖，導致公司因交易而虧損；或
5. 交易數量變異巨大，如瞬間由 0.1 至 0.5 手轉變為 5 至 10 手交易。

**Definition of Abnormal Trading :**

1. Abnormal Trading usually contain shorter period in opening positions, which positions are being opened and closed within a very short period of time;
2. Clients use 3rd party trading systems or plug-in software or other destructive tools that are not officially released by the Company, whether directly or indirectly;
3. Network delay, network failure, calculator failure, quoting error, or quoting system omission could cause quotes on trading platforms unable to reflect real-time market prices accurately. In order to protect the fairness of network transactions and the interests of our clients, our company does not accept any behaviour of using platform omission or failure to make transaction.;
4. Hedging in different directions with multiple trading accounts, resulting in the loss of the company due to the transaction; or

5. The number of transactions varies greatly and suddenly, such as an instant change from ranges 0.1 to 0.5 lots normally to ranges 5 to 10 lots.

**異常交易帳戶的定義：**

如交易帳戶中出現以上一條或多條異常交易情況，則該客戶的交易帳戶會被定義為異常交易帳戶。

**Definition of Abnormal Trading Account :**

If one or more of the above categories were found out in the trading account, the client's trading account will be defined as an abnormal trading account.

**實德環球投資的立場：**

因異常交易會對市場、本公司及其他客戶構成不公平情況並影響交易系統的穩定性及效率。本公司在此鄭重申明，此類客戶和以上交易類別不屬於本公司服務範圍，對上述異常交易帳戶本公司將採取下列處理方式：

1. 對進行異常交易的客戶：如本公司發現任何交易帳戶於電子交易系統中出現異常交易活動，公司將即時凍結帳戶並對該等交易帳戶進行調查。調查後如確實為異常交易帳戶，公司會要求客戶於短時間內辦理平倉(或公司會執行強制平倉)及終止帳戶的申請，遞交申請完成手續後，本公司會將帳戶中的剩餘金額（除因異常交易所產生的利潤外）退還客戶。
2. 對進行異常交易的代理：如本公司發現任何交易帳戶於電子交易系統中出現異常交易活動，公司將即時凍結帳戶並對該等交易帳戶進行調查。而該交易帳戶中所涉及之代理的所有佣金將被凍結直至調查完成。如調查後情況屬實則該異常帳戶所產生的所有佣金將不予發放，並本公司保留向相關代理採取適當的行動，包括但不限於向其追究過往已發放的佣金及/或取消其代理資格。

**Success Universe Investment's Position :**

Abnormal trading may cause unfairness to the market, the Company and other clients and affect the stability and efficiency of the trading system. The Company hereby reiterates that such clients and the above categories of transactions do not belong to the scope of services of the Company. The Company will adopt the following treatment methods for the above abnormal trading accounts :

1. For clients who engage in abnormal trading: Once the Company found that any trading account has abnormal trading activities in the trading system, the Company will freeze the accounts immediately for investigation. If it is being defined as abnormal trading account afterwards, the Company will require the client to close the position(s) (or the company will enforce the liquidation) and

terminate the application for the account within a short time. After application of account termination were done, the Company will return the remaining fund in the account (Except for the profits generated from abnormal trading) to the clients.

2. For agent who belong to the abnormal trading account: Once the Company found that any trading account has abnormal trading activities in the trading system, the Company will freeze the accounts immediately for investigation. All commissions for agents involved in the such trading account will be frozen until the investigation is completed. If it is being defined as abnormal trading account afterwards, all commissions generated from the abnormal trading account will not be issued, and the company reserves the right to take appropriate action to the relevant agent, including but not limited to recall all the paid commissions and/or cancellation of his/her agency qualifications.

**權利保留：**

本公司現保留權利更改上述任何條款及細則。有關更改經張貼於公司網站後，即時生效。

**Right Reservation：**

Our company reserves the right to modify any terms and conditions above. Changes take effect when they are posted on our company's website.